

STATE OF NEW YORK
DEPARTMENT OF STATE
OFFICE OF ADMINISTRATIVE HEARINGS

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In the Matter of the Complaint of

**DEPARTMENT OF STATE
DIVISION OF LICENSING SERVICES,**

Complainant,

DECISION

Complaint No.: 170196

-against-

TORREY TRIPI,

Respondent.

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The above noted matter came on for hearing before the undersigned, Roger Schneier, on October 16, 2018 at the office of the Department of State located at 123 William Street, New York, New York.

The respondent failed to appear.

The complainant was represented by Hearing Presenter Nadine Azarian, appearing by video conference from the office of the Department of State at 99 Washington Avenue, Albany, New York.

COMPLAINT

The complaint alleges that the respondent real estate salesperson failed to provide evidence that prior to submitting his/her license application he/she completed the required qualifying education, and did not cooperate with the complainant's investigation of his/her application.

FINDINGS OF FACT

1) Notice of Hearing together with a copy of the Complaint was served by certified and regular mail addressed to the respondent at his/her last known residence address and posted on August 15, 2018. The certified mail was returned by the Postal Service marked "unclaimed" and without a forwarding address, but the regular mail was not returned (State's Ex. 1 and 3).

2) On May 31, 2016 the respondent was granted a license as a real estate salesperson. His/her most recent association with a real estate broker was terminated on January 31, 2017, and his/her license expired on May 30, 2018 (State's Ex. 2).

3) On October 3, 2017, 2017 the complainant sent the respondent an email requesting that he/she provide proof of completion of 75 hours of qualifying education. The respondent did not reply to either that email or a follow up email, both of which were sent to the email address which he/she provided when he/she applied for his/her license (State's Ex. 2).

OPINION AND CONCLUSIONS OF LAW

I- To obtain personal jurisdiction and bind the respondent to the agency decision the complainant must properly serve the respondent with notice of the hearing and a copy of the Complaint and afford him the opportunity to be heard. *See*, Siegel, New York Practice § 58 (4th ed. 2005). Notice of Hearing and a copy of the Complaint were served by certified and regular mail addressed to the respondent at his/her last known residence address inasmuch as he/she was no longer associated with a real estate broker. The certified mail was returned unclaimed, but the regular mail was not returned.

Where, as here, service by certified mail is returned by the Postal Service, the complainant is required to take any reasonable and practical additional steps available to ensure notice is afforded. *See*, Jones v. Flowers, 547 US 220, 226 (2006)). In this instance there were no reasonable and practical steps available as the Postal Service did not provide a forwarding address and, in any case, the regular mail was not returned. The complainant was not required to search beyond its records and those provided by the US Postal Service for Appellant's current address. *Department of State v Battista*, 05 DOS APP 11 (2011).

Service properly made in a manner reasonably calculated to provide notice of the time, date, place, manner and nature of the proceedings is sufficient whether or not the opposing party actually receives the notice, *see Persad v Division of Licensing Services*, 63 DOS APP 09 (2009); *Pinger v Division of Licensing Services*, 23 DOS APP 07 (2007). As notice was properly served in this instance, and in fact actually received, the hearing was permissibly conducted in the absence of the respondent. *Patterson v Department of State*, 35 AD2d 616 (3d Dept. 1970); *Staley v Division of Licensing Services*, 14 DOS APP 01 (2001); *Department of State v Battista*, 05 DOS APP 11 (2011); *Roy Staley v Division of Licensing Services*, 14 DOS APP 01 (2001); *Matter of the Application of Rose Ann Weis*, 118 DOS 93 (1993).

II- The Department of State retains jurisdiction over a disciplinary matter and may impose disciplinary sanctions where (1) the alleged unlawful conduct occurred while the respondent was licensed and (2) the respondent was eligible to automatically renew the prior license at the time of the commencement of the action. *See*, *Division of Licensing Services v Akbar Yasrebi*, 12 DOS 99 (1999); *Division of Licensing Services v Thabit Uadah*, 287 DOS 98 (1998). Pursuant to Real Property Law (RPL) §441 (2) the respondent may renew his/her license by no later than May 30, 2020. Therefore, the tribunal retains jurisdiction in this matter.

III- The complaint alleges that the respondent violated Real Property Law (RPL) §441 (1) (c) by not supplying proof that he/she had completed 75 hours of qualifying education. That statute actually applies to real estate broker license applications by real estate salespersons, and provides a method to credit the salesperson's qualifying education to the fulfillment of the required real estate broker qualifying education requirement. It is RPL §441 (1-A) (d) which sets forth the requirement that an applicant for a license as a real estate salesperson provide proof of having completed 75 hours of qualifying education. The applicant has not provided such proof and, therefore has violated RPL §441 (1-A) (d).

III- Pursuant to RPL §442-e (5) a real estate salesperson is obligated to cooperate with the Department of State's investigations of possible license violations. The respondent did not respond to the complainant's inquiries about his/her qualifying education. That failure to respond constitutes a violation of the statute.

DETERMINATION

WHEREFORE, IT IS HEREBY DETERMINED THAT Torrey Tripi has violated Real Property Law §§441 (1-A) (d) and 442-e (5), and accordingly, pursuant to Real Property Law §441-c, his/her license as a real estate salesperson, UID #10401289780, is deemed suspended effective immediately and until such time as he/she produces proof satisfactory to the Department of State that prior to the submission of his original application for that license he/she completed the required 75 hours of real estate salesperson qualifying education.

/s/

Roger Schneier
Administrative Law Judge

Dated: October 16, 2018